

1 **Title:** Reconciling the invasion paradox: biotic resistance in freshwater fish communities
2 depends on richness of donor and recipient basins

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4 **Authors:** Daniel B. Fitzgerald¹, Michael Tobler², Kirk O. Winemiller¹

5 ¹Program in Ecology and Evolutionary Biology and Department of Wildlife and Fisheries
6 Sciences, Texas A&M University, College Station, TX 77843-2258, USA

7 ²Division of Biology, Kansas State University, Manhattan, KS 66506, USA

8 **Email addresses:** Daniel Fitzgerald danfitz@tamu.edu

9 Michael Tobler tobler@ksu.edu

10 Kirk Winemiller k-winemiller@tamu.edu

11 **Statement of Authorship:** KOW designed the study, all authors collected the data, DBF
12 performed analyses and wrote the initial draft, all authors contributed substantially to revisions.

13

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21 **Corresponding Author:** Daniel B. Fitzgerald, Department of Wildlife and Fisheries Sciences,
22 210 Nagle Hall, Mail Stop 2258 TAMU, College Station, TX, USA, phone: 484-904-3502,
23 email: danfitz@tamu.edu

24 **Abstract:**

25 Evidence for the theory of biotic resistance is equivocal, with experiments often finding a
26 negative relationship between invasion success and native species richness, and large-scale
27 comparative studies finding a positive relationship. Our analysis of global and regional datasets
28 for fishes in river and stream reaches demonstrates that invasion patterns are consistent with
29 biotic resistance. A negative relationship between native and non-native species richness in local
30 assemblages was found at the global scale, while regional patterns revealed the opposite trend.
31 At both spatial scales, however, nearly all non-native species originated from river basins with
32 higher native species richness than the basin of the invaded community. These findings imply
33 that distinct evolutionary histories in different regions strongly influence invasion of intact
34 communities that are relatively un-impacted by human actions. Both ecological and evolutionary
35 perspectives are required for understanding invasion patterns, and together may explain
36 conflicting evidence for biotic resistance at different scales.

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47 **Introduction**

48 The theory of biotic resistance predicts that more diverse communities are more resistant
49 to invasion by non-native species (Elton 1958; Levine & D'antonio 1999). The current
50 reorganization of the earth's flora and fauna through species introductions presents a critical test
51 of this theory; however, evidence for biotic resistance is equivocal. Experiments conducted on
52 small spatial scales have found some support for biotic resistance to invasion (Stachowicz *et al.*
53 1999; Naeem *et al.* 2000; Fridley *et al.* 2007; Carey & Wahl 2010). In contrast, analyses of
54 regional and global patterns often have found positive correlations between numbers of native
55 and non-native species (Stohlgren *et al.* 1999; Davies *et al.* 2005), and strong influence of
56 species responses to abiotic conditions (Moyle & Light 1996; Roura-Pascual *et al.* 2011). This
57 discrepancy of positive or no relationship between native and exotic richness at large spatial
58 scales versus mixed, sometimes negative, relationships found at small spatial scales has been
59 referred to as the invasion paradox (Renne & Tracy 2003; Fridley *et al.* 2007).

60 Multiple scale-dependent factors could produce the invasion paradox, including spatial
61 heterogeneity, neutral processes, immigration rates, response to disturbance, or even statistical
62 artifacts (Tilman 2004; Fridley *et al.* 2007; Melbourne *et al.* 2007; Clark & Johnston 2011; Clark
63 *et al.* 2013). Greater environmental heterogeneity at the landscape scale may promote beta-
64 diversity that results in the positive correlations between richness of native and non-native
65 species at large spatial scales (Davies *et al.* 2005). Variation in resource availability across
66 spatial scales could also shift species richness relationships of natives and non-natives (Byers &
67 Noonburg 2003). Focusing on a different component of scale, Clark and Johnston (2011) found
68 that temporal changes in species response to disturbance caused a shift in pattern between scales.
69 Human activity (*e.g.*, habitat alteration) is strongly associated with regional and global

70 distributions of non-native species (Leprieur *et al.* 2008; Pysek *et al.* 2010), and differences in
71 anthropogenic disturbance across studies may explain some discrepancies. Indeed, the relative
72 influence of anthropogenic and environmental factors on non-native species richness has been
73 shown to vary across biogeographic realms; yet, evidence for biotic resistance at regional scales
74 remains lacking (Blanchet *et al.* 2009; Roura-Pascual *et al.* 2011).

75 Contrasting relationships could also arise if datasets for different spatial scales reflect
76 different phases of the invasion process (Dietz & Edwards 2006; Melbourne *et al.* 2007; Clark *et*
77 *al.* 2013). The invasion process is generally thought to be composed of at least three phases:
78 introduction, establishment, and spread (Shea & Chesson 2002). Experiments conducted on
79 relatively small spatial scales have been used to examine how species interactions affect invasion
80 success in local communities (*i.e.*, establishment), whereas comparative studies conducted at
81 regional scales (*e.g.*, number of introduced and native taxa per country, ecoregion, watershed
82 unit, *etc.*) examine a combination of the three phases of invasion. Because it is generally difficult
83 to disentangle different phases of invasion based on regional survey data, such large-scale
84 comparative analyses, perhaps unsurprisingly, find little evidence for biotic resistance.
85 Comparative tests of biotic resistance need to be performed with assemblage data collected at
86 local scales that are relevant for species interactions.

87 Freshwater fishes provide an excellent model system to test hypotheses of biotic
88 resistance because dispersal between drainage basins is highly restricted and therefore
89 biogeography can be inferred with confidence (Abell *et al.* 2008; L  veque *et al.* 2008; Brosse *et*
90 *al.* 2013). Certain regions of the world (*e.g.*, Western North America, Europe, Australia) contain
91 high percentages of non-native freshwater fishes, whereas other regions report few (Leprieur *et*
92 *al.* 2008). Interestingly, the regions that tend to report high numbers of introduced species are

93 also those with relatively low native diversity, suggesting that biotic resistance may play a role
94 even at broad spatial scales. Nonetheless, evidence for biotic resistance in lotic ecosystems at any
95 scale is limited. A recent meta-analysis of small-scale experimental studies in aquatic systems
96 found strong evidence for biotic resistance in lentic habitats, but little support for biotic
97 resistance in lotic habitats (Alofs & Jackson 2014). This may be partly explained by a bias
98 toward pond mesocosm experiments in the literature (Thomsen *et al.* 2014). Previous studies of
99 broad scale patterns of freshwater fish invasions in rivers have generally inferred significant
100 influence of environmental suitability, including the degree of human impact, and little evidence
101 for biotic resistance (Moyle & Light 1996; Gido & Brown 1999; Leprieur *et al.* 2008; Blanchet
102 *et al.* 2009). However, these studies have generally analyzed species checklists at the sub-basin
103 or basin scale. A study analyzing reach-scale data of fishes in the United States found support for
104 a negative relationship between natives and non-natives (Mitchell & Knouft 2009), suggesting
105 that comparison of reach-scale data that reflect species establishment and coexistence may
106 provide a more valid test of biotic resistance. Comparisons based on species presence/absence at
107 large spatial scales may include accounts of non-native release without population establishment,
108 and present a skewed depiction of community composition because local assemblages of
109 potentially interacting species are small subsets of the regional species pool.

110 Using comparative data that reflect local species interactions offers a potential ecological
111 explanation for the invasion paradox; however, both ecological and evolutionary processes are
112 known to influence community assembly (Cavender-Bares *et al.* 2009). Species identity and
113 evolutionary history may also explain conflicting results for studies conducted at different spatial
114 scales. Species that evolved in association with more diverse fauna should be superior
115 competitors with a relative advantage when introduced into areas of lower diversity (Vermeij

116 1991; Sax & Brown 2000; Tilman 2011; Fridley & Sax 2014). Comparative studies of invasion
117 patterns have rarely considered invader origins, mostly because specific invasion pathways are
118 unknown. In cases where the details of non-native introductions are known, differences in niche
119 characteristics of the invaders relative to those of the recipient community ultimately dictate
120 invader success (Shea & Chesson 2002; Azzurro *et al.* 2014; Skóra *et al.* 2015). For example,
121 Azzurro *et al.* (2014) showed that successful fish invaders tend to exist on the periphery of
122 community morphological space. Similarly, non-native pest plants tend to be more distantly
123 related to species in the receiving community than introduced plants that fail to become pests
124 (Strauss *et al.* 2006). While specific invasion pathways or functional trait data are not uniformly
125 available on a global scale, broad-scale patterns in fish biogeography are well understood, and
126 relative differences in species richness between donor and receiving fauna can serve as a proxy
127 of relative competitive abilities of non-native species.

128 To address these issues, the present study analyzes global and regional patterns of non-
129 native and native freshwater fishes based on reach-scale surveys of fishes in rivers and streams.
130 Because species interact within habitats, the stream reach is the most relevant spatial scale for
131 documenting invasion success. To test the hypothesis that more species-rich communities should
132 be more resistant to invasion, we compare the number of native and established non-native fish
133 species found within a given stream or river reach, as well as compare the species richness of the
134 basin of origin for the invader with the species richness of the basin of the invaded community.
135 Separate analyses were performed on two sets of reach-scale data: one global and one regional.
136 Our regional analysis included rivers and streams within the state of Texas, USA, a region with
137 strong gradients of native fish species richness and endemism among seven major river basins
138 that flow to the Gulf of Mexico. Our hypotheses for biotic resistance are two-fold: 1) the number

139 of non-native species is negatively correlated with the number of native species present at local
140 sites, and 2) species originating from basins with more native species are more likely to become
141 established when introduced into communities in regions with lower species richness.
142 Specifically, the frequency distribution of established non-natives should be strongly skewed in
143 favor of species that originated from more diverse faunas in relation to the fauna of the recipient
144 community.

145

146 **Methods**

147 *Data Collection*

148 Habitats impacted by human activities tend to have more introduced species (Johnson *et al.*
149 *al.* 2008; Leprieur *et al.* 2008; Roura-Pascual *et al.* 2011). To increase the chance of detecting
150 the influence of biotic resistance, we focused on fish survey data from relatively unaffected
151 stretches of rivers and streams. Reach-scale surveys of fishes in lotic ecosystems (including both
152 main channel and floodplain habitats) were compiled from the literature and natural history
153 collection databases into global and regional datasets (*Supporting Information*). Sites were
154 selected to maximize geographic coverage and evenness, as well as to capture a range of stream
155 and river sizes within each region. Priority was given to sites where established invasive species
156 are known to occur. Our goal was to develop a balanced global survey across habitat types,
157 impact categories and climatic regimes. Nonetheless, data availability was skewed toward well-
158 studied regions, such as Europe, Australia, and North and South America. We attempted to select
159 studies conducted as recently as possible to reflect current invasion status (survey dates range
160 from 1970–2009, with most studies in the 2000s).

161 For the purposes of this study, we loosely defined a reach as a segment of a stream or
162 river, ranging from 500 m to 10 km in length. Only surveys that reported effort sufficient to
163 obtain a representative sample of the entire fish community within the reach were included in the
164 analysis. Between-site differences in reach size and survey effort are unavoidable. Here, we
165 assumed that variation in survey methods and effort affects the probably of finding native and
166 exotic species equally. For studies conducted at multiple sites within a basin, the reach with the
167 highest recorded species richness was retained in the database for analysis. In general, we did not
168 include river reaches located within reservoirs, but for a few highly impacted basins this was
169 unavoidable.

170 The list of species recorded from each study was obtained and the total number of native
171 and introduced species was recorded. Only established, self-sustaining exotic populations were
172 counted; introduced species incapable of sustaining a population (*e.g.*, *Oncorhynchus mykiss*,
173 rainbow trout, stocked for recreational fisheries) were not included in richness estimates. Hybrid
174 species were only included if the parental forms were absent from the system. Species whose
175 native status was considered questionable or species transplanted between sub-basins within the
176 same major basin were considered native species. These criteria should facilitate counting
177 introduced non-native species that are established and sufficiently common to have reasonable
178 probabilities of detection during surveys. Exclusion of hybrids and species of questionable
179 geographic origin ensured that species counted as non-native did not evolve with the local
180 ichthyofauna of the receiving community. Each survey location was classified as a small (< 30 m
181 wide), medium (< 200 m wide), or large river (> 200 m wide), and as temperate, subtropical, or
182 tropical. Additionally, each site was assigned to one of three human impact categories: low
183 (relatively natural landscapes with few apparent impacts, such as some areas with livestock

184 grazing), moderate (watersheds with grazing and crop lands, rural dwellings and towns, and/or
185 with a few dams), and high (watersheds with extensive agricultural and urban development,
186 pollution, and/or extensively dammed). For the regional analysis of Texas streams and rivers,
187 impact categories were assigned as either ‘low’ or ‘high’ given the shorter impact gradient
188 compared to the global analysis.

189 We also compared native species richness of the river basin of origin of successful
190 invaders and the river basin of the recipient community. For invader species with broad
191 geographic ranges that encompass multiple river basins, the basin of origin was the basin with
192 greatest native species richness. Here, we assume that more diverse basins are acting as a “center
193 of origin” (*i.e.*, the donor fauna). In other words, even though the specific geographic source of
194 many introductions was unknown, the introduced individuals likely evolved within the biotic
195 environment associated with the center of diversity within their native range. While this
196 assumption yields a coarser analysis, it does not bias results. In almost all cases, the differences
197 between the richness of donor and receiving fauna were large enough (*e.g.*, Amazon vs.
198 Mississippi basin) that trends were robust to slight differences between alternative basins of
199 origin for non-native species with large ranges. References for basin richness and native ranges
200 of introduced species were compiled from multiple sources, including online databases,
201 compendia, and primary literature (*Supporting Information*). For basins with multiple reported
202 estimates of species richness, the most recently published estimate was used. For several sites in
203 smaller basins (*e.g.*, in Patagonia and parts of Asia), species richness estimates for the basin were
204 unavailable so the nearest geographic basin in Brosse *et al.* (2013) was used.

205

206 *Statistical Analysis*

207 A generalized linear modeling (GLM) approach was used to determine whether the
208 number of non-native species is negatively correlated with the number of native species at a
209 given location. The number of non-native species was modeled as a negative binomial
210 distribution, and a truncated zero hurdle model that treated non-native presence vs. absence as a
211 binomial distribution was included to account for the high number of zeros in the dataset.
212 Number of native species, impact category, and habitat type were included as explanatory
213 variables. Modeling was conducted via backwards selection and the model with the lowest cross-
214 validation error was chosen. Models were run using the *pscl* package in R (Zeileis *et al.* 2008).
215 The explanatory variable ‘climate’ was excluded from analyses to deal with issues of collinearity,
216 as it essentially described a gradient of increasing native richness from temperate to tropical sites.
217 The remaining explanatory variables did not show signs of significant collinearity based on
218 examination of the scatter plot matrix and calculation of the condition index ($\lambda_{\max} / \lambda < 2$), where
219 λ_{\max} represents the dominant eigenvalue and λ the remaining eigenvalues (Quinn & Keough
220 2002). Due to issues of collinearity between explanatory variables within the regional Texas
221 analysis, the GLM approach described above was not appropriate. Instead, these data were
222 analyzed by principal components regression using a quasipoisson distribution.

223 A null model for the global analysis was created following the approach presented in
224 Gido and Brown (1999) to test whether observed patterns of non-native species differed from
225 expectations based on random invasion. A presence-absence matrix was created from the
226 observed occurrences of non-native species within reach-scale sites. To avoid pseudoreplication,
227 only one reach-scale site (maximum native diversity) was modeled for each river basin. Each
228 non-native species was allowed to invade sites outside of their native range at random, with
229 number of sites invaded equaling the number of occurrences in the observed data. This approach

230 maintained interspecific differences between species, but allowed for equal colonization of all
231 river basins. Null simulations were conducted in R (version 3.1.3). Simulations were run 1000
232 times to obtain a mean and maximum number of introduced species for each site. The percentage
233 of sites where the observed number of non-natives was greater than expected by random was
234 compared across high (≥ 80 species) and low diversity sites using a binomial proportions test
235 with continuity correction.

236 To determine whether established non-native species originate from regions of higher
237 fish diversity, a one-way chi-squared analysis was performed on the number of occurrences
238 where a non-native species came from an area of higher or lower fish diversity. To avoid
239 pseudoreplication, only one instance of a given non-native species was counted per river basin. A
240 log-linear model with a poisson distribution was used to test for potential interactions between
241 number of native species, impact category, and habitat type. Modeling was performed via
242 backwards selection until removal of non-significant terms significantly increased residual
243 deviance.

244

245 **Results**

246 A total of 226 reach-scale surveys were included in the global analysis (*Supporting*
247 *Information*). There was large variation in the number of non-native species found in sites with
248 low native diversity, whereas high diversity sites contained few, if any, non-native species (Fig.
249 1). A significant negative relationship was found between the number of non-native species and
250 number of native species at a site, as well as a larger positive relationship between the number of
251 non-native species and human impact (Table 1). On average, highly impacted sites contained
252 more non-native species, but the number of non-natives decreased with increasing native

253 diversity. The zero hurdle model showed a significant negative relationship between the presence
254 of non-native species and native species richness, as well as a significant influence of habitat
255 type, with medium and large rivers more likely to contain non-native species than small rivers.
256 Impact level was not significantly correlated with the absence of non-native species (Table 1).

257 To test the potential influence of several highly diverse tropical sites included in the
258 dataset, a subset that only included cases with native richness ≤ 200 was analyzed. This subset
259 excluded five large, relatively pristine, tropical rivers from Venezuela and Guyana (Cinaruco,
260 Caura, Casiquiare, Apure, and Rupununi). Results from this subset were identical to the model
261 run with the full data set (*Supporting Information*). Therefore, the full data set was retained for
262 subsequent analyses.

263 Null simulations in which species were allowed to invade sites at random showed no
264 evidence for a relationship between number of native species and mean number of non-native
265 species (Fig. 1; slope = 0.00015, SE = 0.0001, $P = 0.135$). The proportion of sites with an
266 observed number of non-native species greater than the mean random expectation was
267 significantly lower in high (≥ 80 species) than in low native diversity sites (Table 2). No
268 significance difference was found between high and low native diversity sites for the proportion
269 of sites greater than the maximum random expectation (Table 2), although some sites with low
270 native diversity contained nearly twice as many non-native species as predicted from the null
271 model (Fig. 1). A significant proportion of sites with high native diversity contained fewer non-
272 native species than mean expectations based on null simulations ($\chi^2 = 27.03$, $df = 1$, $P < 0.0001$).

273 A total of 145 species accounted for the 458 cases of non-native establishment in the data
274 set. Most of these cases involved a small number of cosmopolitan species introduced into
275 multiple river basins. Significantly more cases ($n = 429$) involved establishment of non-native

276 species that originated from basins more species rich than the receiving basin (Fig. 2; $\chi^2 = 349.35$,
277 $df = 1$, $P < 0.0001$). A log-linear model was used to test for interactions between covariates.
278 There was a significant interaction between invader origin (from more diverse *vs.* less diverse
279 community) and habitat type (slope = -1.64 , SE = 0.59 , $z = -2.79$, $P = 0.005$), whereas the
280 interaction between invader origin and impact was non-significant. The three-way interaction
281 term was not significant, indicating a similar interaction between invader origin and impact
282 across habitat types. Most of the cases in which established non-native species came from a more
283 diverse basin than the receiving basin were highly impacted sites, reflecting the significant
284 positive relationship between human impact and number of non-natives.

285 The regional analysis included a total of 55 reach-scale surveys of Texas rivers and
286 streams. The relationship between the number of native and non-native species was weaker than
287 the one obtained for the global analysis. PC1 was significantly correlated with number of non-
288 natives (parameter estimate = -0.932 , SE = 0.281 , $t = -3.322$, $P = 0.002$). All three variables
289 loaded roughly equally on PC1 (number native species = -1.44 , human impact = -1.44 , habitat
290 size = -1.78), and were therefore all positively correlated with number of non-natives. While
291 highly impacted sites tended to have more non-native species for a given habitat category, the
292 relationship between non-native and native species richness varied from no correlation for large
293 and small rivers to a positive correlation for medium-sized rivers (Fig. 3). A total of 38 non-
294 native species accounted for the 77 cases of non-native establishment in the regional analysis.
295 Similar to the global analysis, most of these introductions involved a small number of
296 cosmopolitan species. Significantly more cases ($n = 68$) involved non-native species originating
297 from a river basin that was more diverse than the receiving basin (Fig. 4; $\chi^2 = 54.37$, $df = 1$, $P <$

298 0.0001). There was no significant interaction between invader origin and habitat type, or invader
299 origin and level of human impact.

300

301 **Discussion**

302 Contrary to previous comparative studies that analyzed species assemblage data at large
303 spatial scales, we found evidence to suggest that high native species richness inhibits
304 establishment of non-native species originating from less diverse river basins. Though human
305 impact and habitat size influence the number of non-native species, there was a consistent trend
306 of declining numbers of non-native species as a function of native species richness within
307 streams and rivers in our global analysis (Fig. 1). The observed negative trend was significantly
308 different from random expectations based on a null model (Fig. 1). A significant proportion of
309 high diversity (≥ 80 native species) sites were found to contain fewer non-native species than the
310 mean value predicted by null simulations (Table 2). In addition, some low diversity sites
311 contained almost twice the maximum number of non-native species predicted by the null model.
312 The vast majority of non-native species in both the global and regional analyses originated from
313 river basins with higher native species richness than the basin of the recipient community (Figs.
314 2, 4), a trend consistent with previous regional analyses of freshwater and marine fishes (Vermeij
315 1991; Gido *et al.* 2004; Fridley & Sax 2014). This high proportion of successful invaders
316 originating from a more diverse fauna than the receiving fauna suggests that coevolved
317 ecological interactions in species-rich systems inhibit establishment of non-native species.

318 Discrepancies in the observed relationship between native and non-native species
319 richness for the regional and global analyses could be partially explained by unequal competitive
320 environments between donor and receiving faunas. The large amount of variation in numbers of

321 non-natives established in local assemblages with low native diversity suggests that native
322 species richness alone is a poor predictor of invasion success (Fig. 1). Results from the regional
323 analysis of Texas rivers indicated a positive to no relationship between native diversity and the
324 number of non-native species (Fig. 3). However, when placed in the context of the global
325 analysis, this regional relationship becomes nested within the decreasing pattern displayed along
326 a much greater diversity gradient (Fig. 1). Because a significant portion of non-native species in
327 the regional analysis originated from a basin with higher native diversity than the invaded basin,
328 the observed regional trends are not necessarily in conflict with the theory of biotic resistance.
329 Both native and non-native species of a local assemblage are exposed to the same abiotic and
330 biotic factors, which suggests that niche differences between natives and non-natives probably
331 determine invasion success, rather than some aspect of native diversity alone (Shea & Chesson
332 2002).

333 While previous attempts to explain the invasion paradox have shown that patch dynamics
334 (Shea & Chesson 2002; Davies *et al.* 2005) and temporal dynamics (Clark *et al.* 2013) can
335 explain the shift in pattern across scales, we suggest that data on a scale appropriate for species
336 interactions and consideration of invader origin may provide a more complete explanation.
337 Changes in resource or habitat heterogeneity across scales or response to temporal changes in
338 disturbance cannot explain the mixed results found in small-scale observational studies (Fridley
339 *et al.* 2007; Clark & Johnston 2011). Consideration of invader origin (*i.e.*, invader identity),
340 however, may be able to account for some of these discrepancies. Sun *et al.* (2015) recently
341 showed that the relationship between native plant diversity and invader performance differs
342 between species introduced from the same regional species pool and alien species introduced
343 from a different continent. This suggests that characteristics of the invading species relative to

344 the recipient community are critical in determining invasion success. Nonetheless, patterns
345 produced comparing species richness of donor and receiving communities remain highly
346 dependent on spatial scale. Jeschke and Strayer (2005) analyzed invasion success in vertebrates
347 moving between Europe and North America and found similar rates of invasion in both
348 directions; however, their study compiled lists of non-native species on a continental scale.
349 Using reach-scale data that should better reflect species interactions, our study shows that
350 numerous fishes have successfully established in both directions, but invaders tend to establish in
351 river basins that are less diverse than their native basins. So while consideration of relative
352 differences in competitive environments between donor and receiving communities may explain
353 unresolved discrepancies in observational studies, prior explanations based on differences in the
354 spatial and temporal scales of studies remain equally important to consider.

355 Using species richness of river basins in the native and introduced ranges of species as a
356 proxy of competitive differences presents some difficulties. For example, some non-natives are
357 found in only part of a river basin, and therefore co-occur only with a subset of the basin's fish
358 species. However, this would bias results in the opposite direction of the observed trend. In our
359 dataset, a non-native species sometimes was recorded as invading a local assemblage within a
360 river basin more diverse than its basin of origin, when in fact the species had only established
361 within small headwater streams having relatively depauperate local assemblages (e.g.,
362 *Oncorhynchus spp.*). In addition, our analysis may have been biased because we selected the
363 highest diversity basin within the native distribution of a species to represent the basin of origin.
364 However, in almost all cases, differences between the richness of donor and receiving basins
365 were sufficiently large that trends should be robust. Although it is possible that species-rich
366 basins contain recent invaders that evolved within adjacent basins and later dispersed into a basin

367 with higher native richness, it is likely that the great majority of species have their evolutionary
368 history within the basin having greatest native richness and later dispersed outward to adjacent
369 basins.

370 A potential confounding factor affecting results of our study is unequal propagule
371 pressure between high diversity and low diversity sites. For example, many tropical species pass
372 through the aquarium trade to Europe and the United States, creating opportunities for
373 introductions. Fewer temperate fishes are kept in aquaria, particularly in tropical countries.
374 However, there are other opportunities for introductions into tropical regions. Many Asian and
375 African fishes are available in pet stores in Brazil, yet successful introductions only occur in
376 severely impacted waters (Leandro M. Sousa, *personal communication*). In contrast, armored
377 catfish (*Pterygloplichthys* spp.) and other Neotropical fishes popular in the aquarium trade have
378 become established in streams in tropical Asia (Ann *et al.* 2013), a region with a less diverse
379 ichthyofauna. Farming of African tilapia (*Oreochromis*, *Sarotherodon* and *Tilapia* spp.) is
380 widespread in the Neotropics, yet tilapia captures are rarely reported in streams and rivers of the
381 Amazon, Orinoco, and Paraná basins and appear to be restricted to reservoirs (K.O.W., *personal*
382 *observation*). This pattern is consistent with our results, as well as a previous study that
383 suggested limited propagule pressure was not a cause for the low number of exotic vascular
384 plants established in diverse tropical areas (Rejmanek 1996). It appears that the low number of
385 established non-native species in diverse tropical regions reported for a variety of taxa across
386 freshwater, marine, and terrestrial communities (Rejmanek 1996; Sax 2001) likely reflects
387 aspects of those communities that inhibit establishment of species from less diverse regions.

388 The spread of cosmopolitan non-natives and concomitant loss of native species has been
389 shown to cause biogeographic homogenization at regional (Rahel 2000) and global scales (Baiser

390 *et al.* 2012). Our findings for freshwater fish counter the argument that homogenization is global.
391 In the absence of impacts to habitat, it appears unlikely that temperate-zone fishes can
392 successfully invade tropical habitats with high species richness. Moreover, most tropical fishes
393 are incapable of invading most temperate and sub-polar regions due to physiological intolerance
394 of low temperatures. Interestingly, a recent study analyzing homogenization patterns for several
395 major taxonomic groups across several spatial scales found strong support for homogenization
396 for all taxa at all scales, with the exception of fishes (Baiser *et al.* 2012). Regional patterns of
397 homogenization in fishes reveal that tropical regions have experienced low levels of
398 homogenization (Villegier *et al.* 2011). However, invasion of high diversity fluvial ecosystems
399 could be facilitated by habitat degradation caused by dams, water diversions, pollution, and other
400 impacts that alter native communities (Johnson *et al.* 2008). In fact, habitat alteration may partly
401 explain why tests of biotic resistance based on analyses conducted at coarse spatial scales have
402 not inferred greater invasion resistance in more species-rich assemblages.

403 Fridley *et al.* (2007) identified a need for theories that create precise, falsifiable
404 predictions of species invasions at large scales. We propose that one component of such a theory
405 would involve some form of a biotic establishment term: $S_{\text{native range}} / S_{\text{invaded range}}$, where S
406 represents species richness and values ≥ 1 represent circumstances where establishment within
407 an invaded community is possible. Of course, other proxies of competitive differences between
408 regions (*e.g.*, genetic potential) may also prove useful (Fridley & Sax 2014). Clearly, no single
409 explanation can account for all biological invasions, and hierarchical frameworks to predict
410 invasion are appropriate (Catford *et al.* 2009; Gurevitch *et al.* 2011). Environmental
411 heterogeneity, dispersal limitation, functional traits, and anthropogenic drivers undoubtedly

412 influence invasion success in addition to biotic interactions. Identifying how these factors
413 interact to form a general theory of invasion remains a key challenge.

414 Our findings imply that coevolution and niche relationships strongly affect invasion success
415 in freshwater fishes. However, biotic resistance alone cannot explain invasion success or failure.
416 Anthropogenic environmental impacts have major influence, in part because they shift the
417 composition and structure of native assemblages and hence the strength of biotic resistance.
418 While other factors may mask or alter the effects of competitive interactions, the global trends
419 for freshwater fishes are consistent with the theory of biotic resistance. Recent theories have
420 emphasized that distinct evolutionary histories of different biotic regions may create competitive
421 advantages for species evolving in areas of higher genetic potential (Tilman 2011; Fridley & Sax
422 2014). Consideration of both the ecological and evolutionary aspects of community assembly is
423 critical to understanding invasion patterns, and may explain some of the discrepancies previously
424 noted in the relationship between native and non-native species richness across spatial scales.

425

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430

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Table 1 Results from a generalized linear model of number of non-native species per site for global freshwater fish invasions. Number of non-native species was modeled as a negative binomial distribution and a truncated zero hurdle model was included to account for the high number of sites where no non-native species were found. Number of native species, level of human impact (categorized as low, moderate, or high) and habitat size (categorized as small, medium, or large) were included as explanatory variables. Details of the categorization criteria are provided in Material and Methods.

Model	Variable	Parameter	Standard	z	p-value
		estimate	error		
<i>Count Model</i>	Intercept	0.785	0.423	1.855	0.064
	Number Native	-0.021	0.007	-2.880	0.004 *
	Impact (moderate)	-0.575	0.616	-0.934	0.350
	Impact (high)	1.254	0.566	2.216	0.027 *
	Habitat (medium)	0.090	0.482	0.187	0.851
	Habitat (large)	-11.310	108.023	-0.105	0.917
<i>Zero Hurdle</i>	Intercept	0.190	0.390	0.487	0.626
	Number Native	-0.028	0.006	-4.282	< 0.001 *
	Impact (moderate)	-0.133	0.554	-0.239	0.811
	Impact (high)	0.168	0.001	0.012	0.990
	Habitat (medium)	1.138	0.519	2.191	0.028 *
	Habitat (large)	1.207	0.752	1.605	0.109

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Table 2 Percentage of sites in global analysis with a greater number of non-native species than mean and maximum values obtained from 1,000 random simulations. Low and high diversity categories were selected following Gido & Brown (1999). Comparisons between low and high diversity sites were conducted using a binomial proportions test with continuity correction.

	Percentage of sites with more non-native species than predicted by random	
	Mean	Maximum
<u>Native Species Richness</u>		
Low (< 80 spp.)	22.84%	6.60%
High (\geq 80 spp.)	0.00%	0.00%
Chi-squared	6.9009	0.99570
p-value	0.0040 *	0.15900

637 **Figure Captions**

638 **Figure 1.** Relationship between the number of native and non-native species for global
639 freshwater fish invasions. Upper panel shows a generalized linear model in which the number of
640 non-native species was modeled as a negative binomial distribution with a zero hurdle. Number
641 of native species, habitat size (small, medium, large), and human impact (low, moderate, high)
642 were included as explanatory variables. Significant trend lines are presented for each
643 combination of habitat size and human impact, with line type reflecting habitat categories and
644 line color representing impact categories. Lower panel shows the null expectation (1,000
645 simulations) when species are allowed to randomly colonize any drainage outside of their native
646 range. Trend lines represent least squared regression lines of minimum, mean, and maximum
647 number of non-native species per site. Slopes of all three statistics were not significantly
648 different from zero. Points represent observed values from the data.

649
650 **Figure 2.** Number of cases in the global dataset in which an observed non-native species
651 originated from a more or less diverse native ichthyofauna. Significantly more cases ($n = 429$)
652 involved establishment of non-native species that originated from basins more species rich than
653 the receiving basin ($\chi^2 = 349.35$, $df = 1$, $P < 0.0001$). Number of cases in which no non-native
654 species was found is presented for reference only and was not included in the Chi-squared
655 analysis. Shadings represent the number of cases for each of the three habitat sizes (upper panel)
656 and impact categories (lower panel).

657
658 **Figure 3.** Relationship between the number of native and non-native species for freshwater fish
659 invasions in the state of Texas, USA. Principal components regression, with number of non-

660 native species modeled as a quasipoisson distribution, was used to test the relationship. PC1 was
661 significantly correlated with number of non-native species (parameter estimate = -0.932 , SE =
662 0.281 , $t = -3.322$, $P = 0.002$). Number of native species, habitat size (small, medium, large), and
663 human impact (low, moderate, high) loaded negatively on PC1 (number native species = -1.44 ,
664 human impact = -1.44 , habitat size = -1.78), making all three positively correlated with number
665 of non-native species. Lines for each combination of habitat size (line type) and human impact
666 (line color) do not represent true regression lines and are presented only to show general trends
667 across categories.

668

669 **Figure 4.** Number of cases in the regional dataset for the state of Texas, USA in which an
670 observed non-native species originated from a more or less diverse native ichthyofauna.
671 Significantly more cases ($n = 68$) involved non-native species originating from a river basin that
672 was more diverse than the receiving basin ($\chi^2 = 54.37$, $df = 1$, $P < 0.0001$). Number of cases in
673 which no non-native species was found is presented for reference only and was not included in
674 the Chi-squared analysis. Shadings represent number of cases for each of the habitat sizes (upper
675 panel) and impact categories (lower panel).

Fig 1.

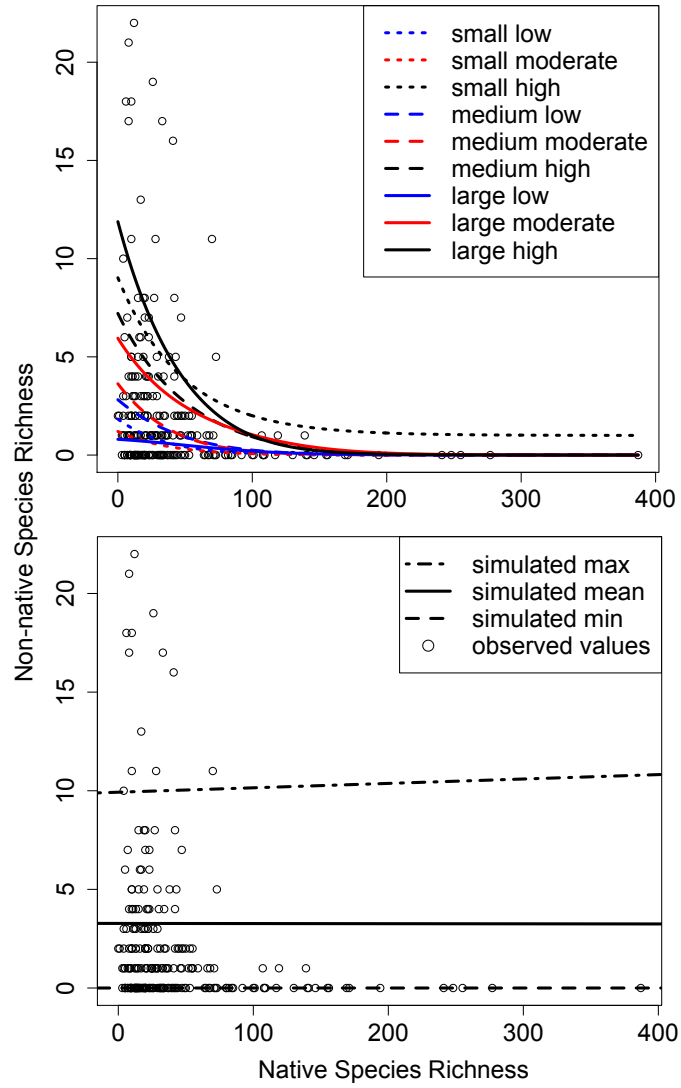


Fig. 2

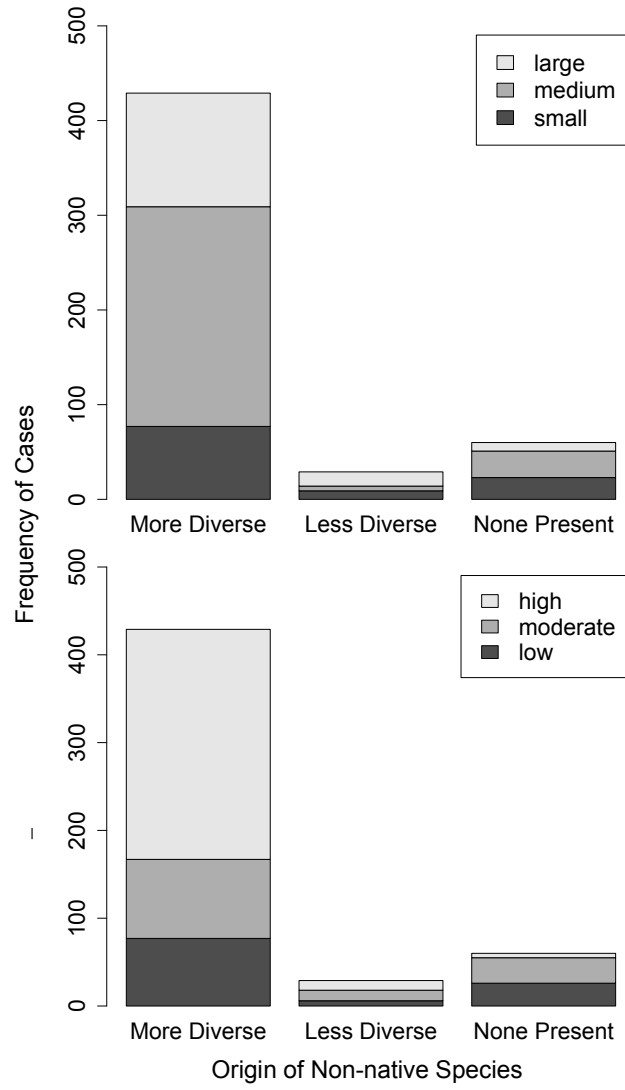


Fig. 3

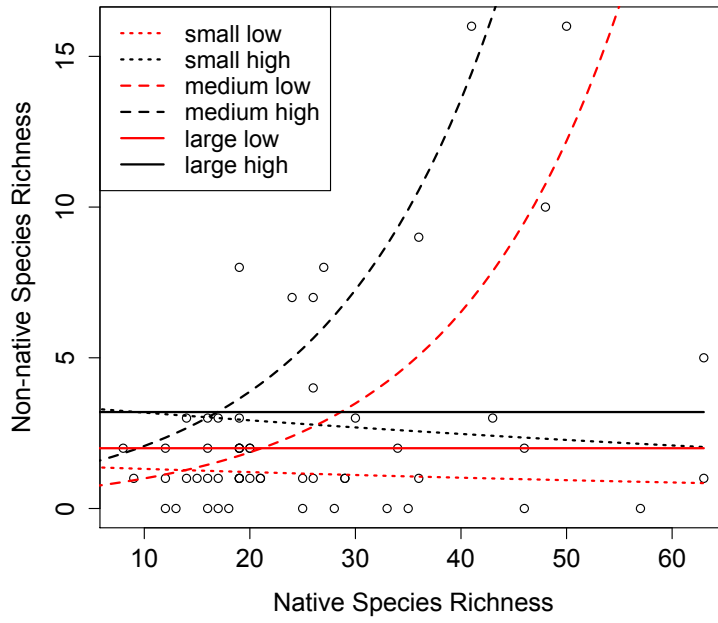


Fig. 4

